

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)  
Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: VONWIN CAPITAL MANAGEMENT LP	Name of Transferor: HSBC PRIVATE BANK SUISSE SA
Notices to Transferee should be sent to: VonWin Capital Management, L.P. 261 Fifth Avenue, 22 <sup>nd</sup> floor, New York, NY 10016 USA Attn: Charmaine Wilson rs@southeycapital.com	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor HSBC PRIVATE BANK SUISSE SA ATTN: Quai de Bergues 9-17 P.O. Box 2888 1211 Genève
Amount of Claim Being Transferred: See Schedule 1 (face amount of securities)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
Court Claim No. (if known): 56669,56670,56671	
Date Claim Filed: October 28, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

Roger Von Spiegel  
VonWin Capital Management, L.P.  
261 Fifth Avenue, 22<sup>nd</sup> floor,  
New York, NY 10016, USA  
Contact: Charmaine Wilson  
cw@primeshares.com

Date:

7/12/18

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

RESTRICTED

Schedule 1

Transferred Claims

Transferred Claims

ISIN/CUSIP	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amounts or Units
XS0381438919	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	HKD 3,000,000
XS0331505395	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 1,000,000
XS0229584296	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR 100,000
XS0276139341	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 750,000
XS0380275908	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	HKD 3'000'000
XS0229269856	56670	10/28/2009	LEHMAN BROTHERS UK CAPITAL FUNDING	EUR 200,000
XS0340114353	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 100,000

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: *Lehman Brothers Holdings Inc.* (the "Debtor")

*Case Name and Number:* In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

*Proof of Claim Number:* 56669, 56670 and 56671 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

ISIN/CUSIP	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amounts or Units
XS0381438919	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	HKD 3,000,000
XS0331505395	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 1,000,000
XS0229584296	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR 100,000
XS0276139341	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 750,000
XS0380275908	56669	10/28/2009	LEHMAN BROTHERS TREASURY BV	HKD 3'000'000
XS0229269856	56670	10/28/2009	LEHMAN BROTHERS UK CAPITAL FUNDING	EUR 200,000
XS0340114353	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 100,000

**The aggregate amount of the Transferred Claim Is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

RESTRICTED

**HSBC PRIVATE BANK SUISSE SA**, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

VonWin Capital Management, L.P.  
261 Fifth Avenue, 22<sup>nd</sup> floor,  
New York, NY 10016  
USA  
Email: [cw@primeshares.com](mailto:cw@primeshares.com)

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 29 June, 2018

**HSBC PRIVATE BANK SUISSE SA**  
Transferor

By: \_\_\_\_\_

Name:

Title: **Cyril FRESNAY**

  
**Jérôme Rüttimann**  
Associate Director

ACKNOWLEDGED BY:

VonWin Capital Management, L.P.  
Transferee

By: \_\_\_\_\_

Name: **Roger Von Spiegel**

Title: The general partner of  
VonWin Capital Management, L.P.

